







Customer No. 025181

Docket No. UPM-001.01

THE ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application** Washington, D.C. 20231

	"Express Mail" Mailing Label Number EL 699 592 489 US
	Date of Deposit October 25, 2000
depo 37 C	eby certify that this transmittal letter and the papers referred to as being enclosed therein are being sited with the United States Postal Service "Express Mail Post Office to Addressee" service under FR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Patent Application, Washington DC 20231.
	Signature David A. Lane Tr.
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Dear Sir:

Transmitted herewith for filing is the patent application of

Mark Hoffman, Donald A. McRae, Paul Samuelson, Evan Schulman, and James L.

Walker

Investment Advice Systems and Method For:

Enclo	sed are:
X	This is a request for filing a \square continuation-in-part \square divisional application under 37 CFR
	1.53(b), of pending prior application serial no filed on
	entitled
	Cititude
(S)	53 pages of specification, 11 pages of claims,1 page of abstract.
X	
X	29 sheets of drawings.
X	Unexecuted Declaration, Petition and Power of Attorney.
	An assignment of the invention to A
	recordation form cover sheet (Form PTO 1595) is also enclosed.
	A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
ш	A verifical statement to establish small entity states and the
IX)	Other Return postcard

The filing fee has been calculated as shown below:

	(Col. 1)	(Col	. 2)
FOR:	NO. FILED	NO. EXTRA	
BASIC FEE			
TOTAL CLAIMS	56 - 20	=	36
INDEP. CLAIMS	10 - 3	=_	7
MULTIPLE DEPENDENT CLAIMS			

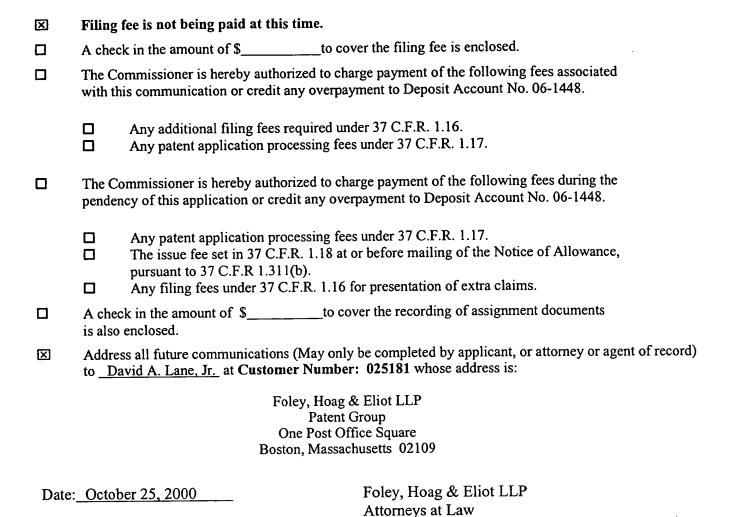
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* If the difference in Col. 2 is less than zero, enter "0" in Col. 2.

	SMALL ENTITY					
	RATE	FEE		<u>OR</u>		
I	////////	\$	355	<u>OR</u>		
	x 9=	\$	324	<u>OR</u>		
	x 40	\$	280	<u>OR</u>		
	+135	\$		<u>OR</u>		
i				_]		
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OTHER THAN SMALL ENTITY

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RATE	FEE	
////////	\$ 710	
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x 80	\$	
+270	\$	
TOTAL	0	



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